

*Lesson Learnt of CFFB Trading & Annual  
Surveillance Audit for certified ISH Group,  
Thai Smallholders*

*Mr. Thitinai Pongpiriyakit  
GIZ, Thailand*



# Contents

1. Background of 4 certified Independent Smallholder groups
2. Activities during 1 year after certified date
3. Certified FFB Trading
4. Annual Surveillance Audit
5. RSPO Independent Smallholders movement in Thailand
6. Lesson Learnt
7. Challenges
8. Recommendations



# 1. Background of 4 certified Independent Smallholder groups

No.	Group	Total Members	Total area (Ha)	FFB 2012 (Ton)
1	Community Enterprise Group for Sustainable Palm Oil Production (Chonburi)	56	455.36	5,756
2	Community Enterprise Group for Sustainable Palm Oil Production UPOIC Nuakhlomg - Khaopanom	159	1,478.32	32,225
3	Sustainable Oil Palm Smallholders Production (UNIVANICH-PLAIPRAYA) Community Enterprise Group	158	645.76	12,035
4	Community Enterprise Group - Suratthani	39	187.89	2,697.40
<b>TOTAL</b>		<b>412</b>	<b>2,767.33</b>	<b>52,713.40</b>



## 2. Activities during 1 year after certified date



RSPO Certified  
**RSPO**  
Roundtable on Sustainable Palm Oil

CFFB Trading  
Oct-Dec 2012

CFFB Trading  
Jan-Dec 2013

Group Annual Meeting

Started expand The group, Training & database management

Annual Surveillance Audit

# Database development

## ฐานข้อมูลเกษตรกรรายย่อยผู้ปลูกปาล์มน้ำมัน (Oil Palm Smallholder Database)

Member Code (รหัสสมาชิก) : 03-01-01

Name (ชื่อ) : สุรสิทธิ์

Block Name (ชื่อแปลง) : SURASIT

Surname (นามสกุล) : ทิเศษศิลป์

### Address (ที่อยู่) :

No (บ้านเลขที่) : 18

Village No. (หมู่ที่) : 2

Sub-District (ตำบล) : เขาพนม

District (อำเภอ) : เขาพนม

Province (จังหวัด) : กระบี่

Post Code (รหัสไปรษณีย์) : 81140

Contact No. (เบอร์โทรศัพท์) : 081-0821520

รายละเอียดเบื้องต้นของแปลง (General Details) : จำนวนแปลงทั้งหมด (Total No. of Plot) : 2 รหัสแปลง (Plot code) : 03-01-01A

เอกสารสิทธิ์ (Land Title) : SorPorKor(สปก.)

สภาพพื้นที่ (Topography) : Flat (ที่ราบ)

พื้นที่ทั้งหมด (Total Size) : 25.00 ไร่ (Rai) / 4.00 เฮกตาร์ (Ha)

เนื้อดิน (Soil Texture) : Clay (เหนียว)

พื้นที่ปลูกปาล์มทั้งหมด (Total Palm area) : 4.00 เฮกตาร์ (Ha)

การอนุรักษ์ดิน (Soil Conservation) : Fround Cover (กองทางใบ)

### การใช้ประโยชน์พื้นที่ (Land use) :

ยางพารา (Para Rubber) 0.00 ไร่ (Rai)

แหล่งน้ำ (Water source) : Rainfall (น้ำฝน)

สิ่งปลูกสร้าง (Building) 0.00 ไร่ (Rai)

การใช้พื้นที่ก่อน (Pre-Landused) : Rubber (ยางพารา)

สระน้ำ (Pond) 0.00 ไร่ (Rai)

รูปแบบการปลูก (Planting Pattern) : Tri 9x9x9 m

เลี้ยงสัตว์ (Livestock) 0.00 ไร่ (Rai)

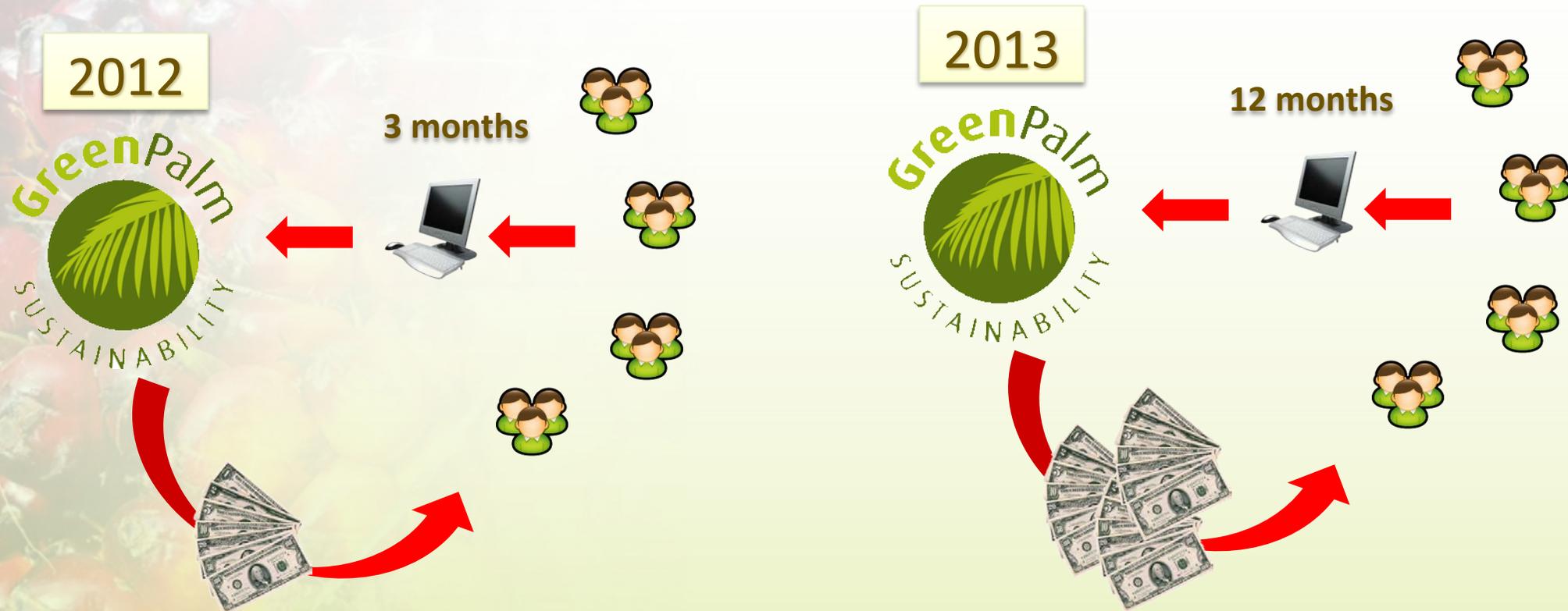
การเก็บเกี่ยว (Harvesting) : Owner (เก็บเอง)

พื้นที่อื่น (Others) 0.00 ไร่ (Rai)

### ผลผลิต (Yield) :

	2011	2012	2013	2014	2015	2016	2017	2018	2019	2020
มกราคม (JAN)	1.48	4.01	3.63							
กุมภาพันธ์ (FEB)	2.61	5.18	7.83							

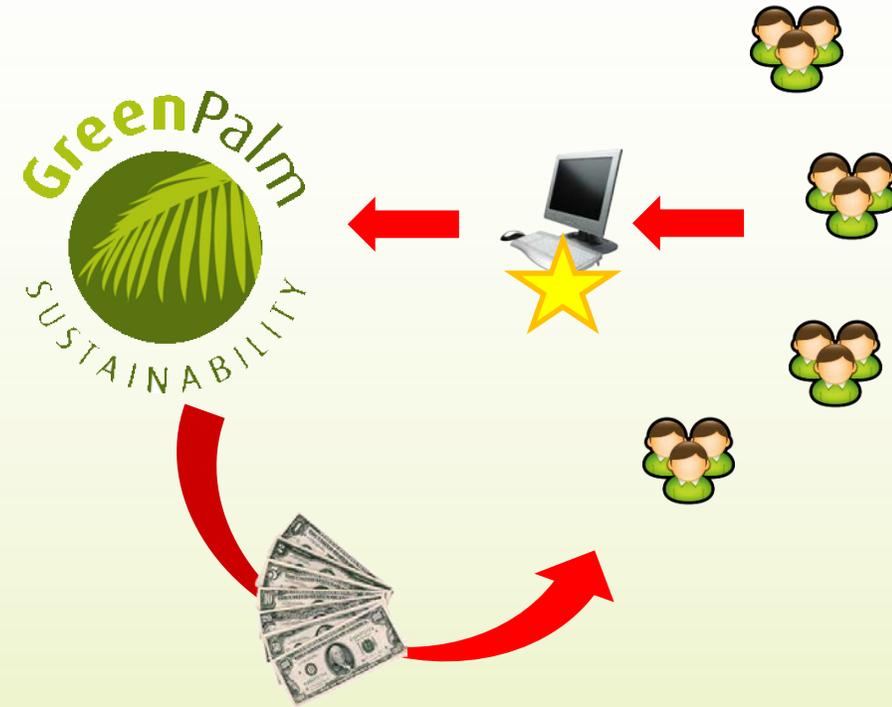
### 3. Certified FFB Trading



- All 4 groups traded via GreenPalm (Book&Claim)
- OMD to Johnson and Johnson (3 years proposal)
- Income from trading can cover activities & surveillance audit (not all 4 groups)

### 3. Certified FFB Trading (Lesson learnt)

- SH not familiar with computerize system
- In the first time, it's take time to understand
- Clear manual/instruction is very important
- Login/password creating quite sensitive
- Trading manual should be translated in to different languages



## 4. Annual Surveillance Audit

Major NC	Minor NC	Observations
1. Group Procedure unclear	1. Fertilizers record	1. Documents control
2. Internal Assessment improvement and performance	2. Soil conservation technique	2. Laws updating
3. Database updating	3. FFB production record	3. Planning
4. No contract between intermediary and group manager	4. Production record unit not calculated to Ha.	4. List of RTEs updating by IUCN and CITES appendices
5. Lack of monitoring for IMP implementation		
6. Internal assessment conducted found no explanation (sampling formula base on risk assessment)		
7. Migrant labors		



## 5. RSPO for Independent Smallholders Movement in Thailand

No.	Certified Group	Patum Oil & Shell	Government	Others
Group	4 Groups	9 Groups	4 Groups	1 Groups
Smallholder	412 + 270	1,785	600	100
Total	<u>3,167</u>			



## 6. Lesson Learnt

- All members should know their roles and responsibilities
- Group activities Planning is very important
- Clear Communication to all member will decrease conflicts
- Group committees is important mechanism for group development
- Farm Advisors is key person to follow-up all members
- Independent Smallholder group model can not sustain if mill not support
- Members should be supported in technical issues for continuously improvement  
(Smallholder-----> SMART Farmer-----> Trainer)
- Transparency will help to mobilize the group

## 7. Challenges

### Member/Group Management

- Lack of Understanding in P&C/THNI and Group Certification Standard
- Size and distribution of the group effected to control and communication
- Replacement of Farm Advisor (follow-up activities of member will be stopped)

### Annual Surveillance Audit

- Annual surveillance audit cost is very expensive (8,000 – 12,000 USD)

## 8. Recommendations

- In Thailand should have RSPO coordination office/staff
- RSPO should be promoted in wider areas
- Database should be developed and updated regularly
- Thai NI working group should involve Certified SH group in THNI review
- Lead Auditor and translator should study about context of the group and country before auditing process



***THANK YOU***