

# NI Extension : Guidance for RSPO P&C Certification & Surveillance Audits

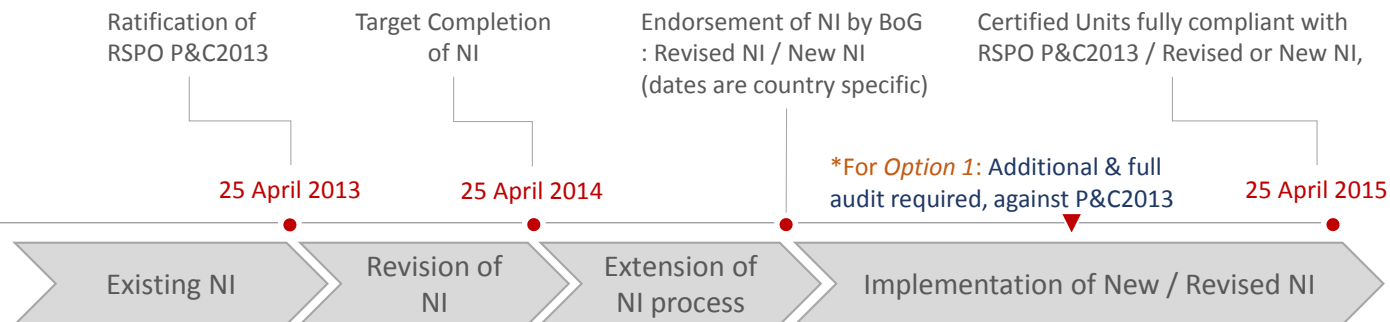
# RSPO

Roundtable on Sustainable Palm Oil

(RSPO/NI/Ext/Guide-07/14)

## Timeline | Important Dates

## NI Process



## RSPO P&C Standards for Certification

Country with existing NI (Malaysia, Indonesia, Thailand, Colombia, Ghana, PNG, Solomon Island)	Certified Entities	Option1	Existing NI, P&C2007	Revised NI, P&C2013   Additional audit by 25 Apr 15*
Country without NI	With LI	Option2	Existing NI, P&C2007	Generic P&C2013
	Without LI		Existing NI, P&C2007	Generic P&C2013
			Existing LI, P&C2007	New NI or Revised LI, P&C2013
			Not Available	New NI or New LI, P&C2013

## Key References

P&C2007 | P&C2013:  
[http://www.rspo.org/en/document\\_principle\\_and\\_criteria\\_certification](http://www.rspo.org/en/document_principle_and_criteria_certification)

Existing NI:  
[http://www.rspo.org/en/document\\_national\\_interpretations](http://www.rspo.org/en/document_national_interpretations)

Announcement on NI Extension:  
[http://www.rspo.org/blog/topic/206/announcement\\_on\\_the\\_ni\\_effective\\_period](http://www.rspo.org/blog/topic/206/announcement_on_the_ni_effective_period)

## Abbreviations

NI – National Interpretation | LI – Local Interpretation  
P&C – RSPO Principles & Criteria

## Note (\*) for Option 1

Prior to endorsement of Revised NI based on P&C2013, certified entities are given the flexibility to go for *Option 1* or *Option 2* for surveillance audit / recertification carried out during this period of time. Audits carried out after the endorsement of Revised NI has to be based on Revised NI, P&C2013.

### Example:

Mill Name - ABC Palm Oil Mill  
Certification Status - P&C Certified  
Certification cycle - 1 August 2013 – 31 July 2018  
Certificate Anniversary - 31 July  
Scheduled next audit (ASA 01) - May 2014

### Option 1 - audit against Existing NI, P&C2007 (Example)

- ASA 01 Audit - May 2014; completed by July 2014
- Additional Audit – FULL audit against Revised NI, P&C2013; to be completed by April 2015 (This step is not required for Option2)
- Next ASA (eg. ASA02) – May 2015; completed by 31 July 2015
- Certificate Anniversary of 31 July remains throughout the 5-year certification cycle